

**NOTIFICATION**

The 18<sup>th</sup> April, 2016

No. 49/43/2015-4IB1 In pursuance to the provision under Chapter 12 of Enterprises Promotion Policy-2015 notified vide No. 49/43/2015-4IB1 dated 14<sup>th</sup> August, 2015, the Governor of Haryana is pleased to notify the “Assistance for technology acquisition” for Micro, Small & Medium Enterprises. The scheme will consist of the following provisions:

**1. Objective**

The objective of the scheme is to identify the areas under technology wherein up gradation is needed in the MSME sector. It involves the use of latest technology acquisition and up gradation of existing technology by the MSME unit.

**2. Quantum of Assistance**

Subsidy @50% on the cost for adopting technology from recognized National Institutes subject to maximum of Rs. 25.00 lakh.

**3. Commencement and Applicability**

The Scheme shall commence with effect from 15.08.2015 for technology acquisition, and shall remain in operation for a period of 5 years. The assistance shall be admissible on acquisition of technology after 14.08.2015 and before 14.08.2020 under this scheme.

**4. Eligibility Criteria**

All MSMEs existing anywhere in the state, which have filed EM Part-II/Udyog Aadhaar Memorandum with respective District Industries Centre shall be eligible under the scheme. The industrial units must also comply with the following conditions:

- i) The enterprise acquiring the technology for the first time in India for specialized application will be considered eligible under the scheme.
- ii) The acquisition of technology can be in any form, including purchase of drawing and design and technology development through engaging experts/R&D institution and/or technical consultancy firm. Mere impact of machinery and Technology will not be considered as technology acquisition.

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- iii) The machinery manufacturers can also avail the benefit for technology collaboration from abroad.
- iv) The item of manufacture should not fall in the restrictive list as notified by the State Government from time to time.
- v) The units should have obtained NOC/CLU from competent authority, if applicable.
- vi) The units should be in commercial production.
- vii) The units should be in regular production at the time of disbursement and the assistance shall not be released to the closed unit.

**5. Procedure**

- a. Application on prescribed Form (Annexure-I) for the reimbursement of cost in technology acquisition along with listed documents would be submitted to the Director of Industries & Commerce, on the web portal of the department.
- b. The application would be processed and examined. The deficiencies, if any, would be communicated to the applicant on-line within a period of 10 working days and the applicant would be given a time period of eight weeks to rectify the deficiencies so pointed out.
- c. In case the deficiencies are not removed within prescribed period, the claim shall be filed by the Competent Authority, under intimation to the party through e-mail. The enterprise shall not be required to submit any additional document other than specified under Annexure-I without approval of competent authority.
- d. The claim application so filed may be reopened with the orders of Principal Secretary Industries & Commerce provided request for the same is received within a period of 30 days from the date of rejection of the claim by the designated Competent Authority.

**6. Time Limit**

An enterprise shall forfeit its entitlement for the reimbursement of the cost in technology acquisition, if it does not submit its claim, complete in all respects within three months from the date of technology acquisition.

**7. Competent Authority for sanction**

The Director, Industries & Commerce shall be competent authority for sanction of the subsidy within 30 days from the date of completion of the claim.

**8. Interpretation/ Relaxation**

Principal Secretary Industries & Commerce, Haryana shall be competent to make interpretation/relaxation of provisions of this scheme.

**9. Appeal**

Appeal against an order passed by the Competent Authority shall lie with the Principal Secretary, Industries & Commerce, Haryana within a period of 30 days from the date of communication of the order appealed against, the order passed by the Principal Secretary in appeal shall be final.

**10. Penal Action**

At any time if it is found that assistance from government has been availed on the basis of any false information, the applicant shall besides refunding assistance with compound rate of interest @ 12% per annum and facing legal action, will be debarred from grant of any incentives/assistance from the State Government.

Devender Singh  
Principal Secretary to Government of Haryana,  
Industries and Commerce Department

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Annexure-I

**Application Form for Assistance for Technology Acquisition**

| S.No | Description  |           |            |
|------|--|-----------|------------|
| 1.   | Name of the Applicant (Authorised person of the unit)  |           |            |
| 2.   | Name & factory address of the unit with telephone no. and e-mail   |           |            |
| 3.   | Registered Office address  |           |            |
| 4.   | Category of unit (Micro/ Small/ Medium)  |           |            |
| 5.   | EM Part-II/Udyog Aadhaar No. & Date  |           |            |
| 6.   | Date of commencement of commercial production (As per first sale bill)   |           |            |
| 7.   | Item of manufacture  |           |            |
| 8.   | Constitution of the Unit [Proprietary, Partnership, Pvt. Ltd., Public Ltd., LLP (Limited Liability Partnership)]   |           |            |
| 9.   | Purpose of Technology Acquisition/Upgradation  |           |            |
| 10.  | Whether the enterprise acquiring the technology for the first time in India for specialized application? Please give the details with justification thereof.           |           |            |
| 11.  | Brief of New Technology, with manufacturing process & details thereof  |           |            |
| 12.  | Name & Address of the entity from which Technology is acquired, along with copy of Arrangement/MoU/ other documents for proof of technology/ acquisition & upgradation |           |            |
| 13.  | Details of cost of Acquisition/Upgradation   | Rs. Lakhs |            |
|      | Purchase of design & Drawings  |           |            |
|      | Technology Development Fees to Exprets/ R & D Institutions/Technical Consultancy Firm:   |           |            |
|      | Others:  |           |            |
|      | Total  |           |            |
|      | Details of Fixed Capital Investment for new unit/expansion/diversification/modernization (As approved by bank/FI) (Rs. Lacs)   |           |            |
|      | Land   |           |            |
|      | Building   |           |            |
|      | Plant & Machinery/ Equipments  |           |            |
|      | Others   |           |            |
|      | TOTAL  | Rs. Lakhs | Percentage |
| 14.  | Means of Finance   |           |            |
|      | Promoter's Contribution  |           |            |
|      | Term Loan (Name of Fin. Inst.)   |           |            |
|      | Internal Resources   |           |            |
|      | Others   |           |            |
|      | Total  |           |            |
| 15.  | Amount of subsidy claimed @50% of the total cost of Technology acquisition   |           |            |
| 16.  | Amount of assistance obtained from Government of India, if any   |           |            |
| 17.  | Other Information, if any  |           |            |

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18. Self attested copies of documents to be attached with the application:
- i. Acknowledgement of EM Part-II/Udyog Aadhaar.
  - ii. Certificate of Incorporation/ Partnership deed.
  - iii. Board resolution/ Power of attorney.
  - iv. Copy of MoU/other documents for acquisition and upgradation of technology.
  - v. Change of Land Use (CLU)/ NOC from competent authority, if applicable.
  - vi. Copy of Assistance of technology acquisition certification.
  - vii. Undertaking/Declaration on non-judicial stamp paper(Annexure-II)
  - viii. CA certificate for details of expenditure incurred in acquisition of technology-in original (Annexure-III).
  - ix. Copy of recognition certificate of national institute for which technology acquired.
  - x. Justification for specialized technology acquired/upgraded.
  - xi. Copy of the bills in respect of technology acquisition and proof of payment thereof.
  - xii. Audited balance sheet of the unit of last year.

Signature of the applicant  
(with seal)

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**Annexure-II**

Undertaking/Declaration (to be submitted on non-judicial stamp paper of Rs. 50/- (Min) duly sworn before a Notary Public (duly affixed with Notarial Stamp; and with Notary Seal & Notary Registration Number) or First Class Magistrate):

I, \_\_\_\_\_ do hereby solemnly state that I am proprietor/  
partner/ director/ \_\_\_\_\_ of M/s \_\_\_\_\_  
located \_\_\_\_\_ which is engaged in the manufacture  
of \_\_\_\_\_ and I have been authorized to file the claim of  
Assistance for technology acquisition with the Department of Industries  
and Commerce, Haryana.

2. I do hereby affirm that the particulars given in the application  
are correct. In case any of the statement/ information furnished in the  
application/ documents later found to be wrong or incorrect or  
misleading, I do hereby undertake to refund the entire amount of  
assistance of Rs. \_\_\_\_\_ (Rupee \_\_\_\_\_)  
granted to me alongwith compound rate of interest @12% per annum,  
besides facing legal action in case facts contained in this application  
are proved to be wrong at the time of verification/ checking or  
otherwise at any stage.

Dated:

Signature of the Proprietor/Partner/  
Managing Director/Director  
(with seal)

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**Annexure-III**

Certificate from Chartered Accountant in respect of Proof of Expenditure incurred in technology acquisition and about investment in plant & machinery (on a CA letter head)

To whom it may concern

The document & records of M/s ..... with their regd. office at ..... and factory located at ..... and EM/Udyog Aadhaar no. .... dated .....) in respect of the expenditure incurred in technology acquisition (or its equivalent) have been verified, and it is certified that the said company have incurred a total expenditure of Rs. .... (Rupees ..... ) towards technology acquisition from the institutes/agency namely..... as per the following details of payments.

- a.
- b.
- c.

AND

Verified from the books of accounts of above firm that the total investment in plant and machinery (original purchase value) of the unit as on date..... stands as Rs..... (Rupees .....)

Name & signature of the Chartered Accountant  
with stamps & CA membership Number

Dated .....

Payment at above should be supported by copies of receipts of payments made to the certification agency duly attested. The payment receipts must indicate the purpose for which the payments have been made to the certification agency.